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Title: High patient socioeconomic deprivation does not inhibit
communication of concerns in head and neck cancer review clinics

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Keywords: Doctor-patient Communication; Head and Neck Cancer;
Deprivation; VRCoDES; Indices of Multiple Deprivation

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Abstract: Objective: To examine associations between SES and the extent
to which head and neck cancer patients expressed concerns to surgeons
during routine follow-up clinics.

Methods: 110 head and neck review consultations with one consultant were
audiorecorded and analysed using the Verona Coding Definitions of
Emotional Sequences (VRCoDES) to measure doctor-patient communication.
English Indices of Multiple Deprivation (IMD) 2015 scores were grouped
into deciles so that the VRCoDES could be compared by patient SES.

Results: There were no significant correlations between IMD decile and
the number and type of cues and concerns or the type of healthcare
provider responses. There was a positive correlation between IMD decile
and appointment length, $r = .288$, $p < .01$. When appointment length was
controlled for, there was a negative correlation between IMD decile and
number of cues and concerns, $r = -.221$, $p < .05$.

Conclusion: These findings question the assumption that SES is associated
with patients' willingness to express their concerns during
consultations. Shorter consultations suggest that less time is invested
in either providing elaborate answers to patients' concerns or with
rapport building. Strategies which facilitate rapport building with low
SES patients may prove useful for clinicians to adopt.

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Dear Sir/Madam

My name is Sarah Allen and I am currently a PhD student at the University of Liverpool. I started my PhD in October 2015, which is in Health Services Research. Specifically, I am looking at the influence of socioeconomic status on doctor-patient communication in head and neck cancer patients. I am writing to submit a full length article for review.

The manuscript is entitled 'High patient socioeconomic deprivation does not inhibit communication of concerns in head and neck cancer review clinics '. The study described analysed head and neck oncology review consultations using Verona Coding Definitions of Emotional Sequences (VRCoDES) and compared them by Indices of Multiple Deprivation (IMD). It was found that there were no significant differences in VRCoDES by patient IMD. This suggests that area-level deprivation may have little impact on doctor-patient communication in head and neck review visits, however individual-level socioeconomic variables may be more important.

This study contributes to our understanding of which socioeconomic variables have significant impact on doctor-patient communication in this patient group. It is suited to the British Journal of Oral and Maxillofacial Surgery because only participants diagnosed with head and neck cancer were recruited.



Thankyou for considering this manuscript for publication. My email address is sarah.allen@liverpool.ac.uk should you need to contact me.

Sincerely,

Sarah Allen
BSc (Hons.) MSc
University of Liverpool

2nd March 2018

David A Mitchell, MBBS, BDS, FDSRCPS, FRCS(ED), FRCS(ENG), FRCS(OMF)
Honorary Editor
The British Journal of Oral & Maxillofacial Surgery

Ref.: Ms. No. BJOMS-D-18-00033

High patient socioeconomic deprivation does not inhibit communication of concerns in head and neck cancer review clinics

Dear Mr Mitchell,

Thank you for the two reviewers comments which we have found very valuable, especially for checking our results carefully.

Reviewer #1 raises some thought provoking comments.

1. *The cues and concerns are effectively "count data" (as are the IMD data having been categorised), so I would recommend providing medians, rather than means, and the inter-quartile range, rather than standard deviations.*

We have provided the medians and inter-quartile range alongside means and standard deviations in table 3.

2. *Following the last point, Spearman, rather than Pearson r should be applied to the data.*

We conducted a Spearman correlation on the data and the results were very similar to the Pearson correlations, therefore we do not feel it is necessary to include the Spearman correlations.

3. *As a suggestion, I would include an ordinal regression with IMD, gender, and length of consultation (for instance) as predictor variables.*

We feel that such a test would require a larger sample size than we have, and therefore it would be underpowered.

Reviewer #2 succinctly summarises the thrust of the paper. We are very grateful of the comments.

BRITISH JOURNAL OF ORAL & MAXILLOFACIAL SURGERY

Author contribution

Manuscript Title __ High patient socioeconomic deprivation does not inhibit communication of concerns in head and neck cancer review clinics

Please provide details in the table below of each author(s) contribution to the submitted manuscript

AUTHORS	Conception and design of study/review/case series	Acquisition of data: laboratory or clinical/literature search	Analysis and interpretation of data collected	Drafting of article and/or critical revision	Final approval and guarantor of manuscript
Sarah Allen			X	X	
Rebecca Harris			X	X	
Stephen Brown			X	X	
Gerry Humphris	X		X	X	
Yuefang Zhou			X	X	

Simon Rogers	X	X	X	X	X
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High patient socioeconomic deprivation does not inhibit communication of concerns in head and neck cancer review clinics

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High patient socioeconomic deprivation does not inhibit communication of concerns in head and neck cancer review clinics

Abstract

Objective: To examine associations between SES and the extent to which head and neck cancer patients expressed concerns to surgeons during routine follow-up clinics.

Methods: 110 head and neck review consultations with one consultant were audiorecorded and analysed using the Verona Coding Definitions of Emotional Sequences (VRCoDES) to measure doctor-patient communication. English Indices of Multiple Deprivation (IMD) 2015 scores were grouped into deciles so that the VRCoDES could be compared by patient SES.

Results: There were no significant correlations between IMD decile and the number and type of cues and concerns or the type of healthcare provider responses. There was a positive correlation between IMD decile and appointment length, $r = .288, p < .01$. When appointment length was controlled for, there was a negative correlation between IMD decile and number of cues and concerns, $r = -.221, p < .05$.

Conclusion: These findings question the assumption that SES is associated with patients' willingness to express their concerns during consultations. Shorter consultations suggest that less time is invested in either providing elaborate answers to patients' concerns or with rapport building. Strategies which facilitate rapport building with low SES patients may prove useful for clinicians to adopt.

Keywords: Doctor-patient Communication; Head and Neck Cancer; Deprivation; VRCoDES; Indices of Multiple Deprivation

Introduction

Head and neck cancer can result in a range of long-term concerns for the patient including pain, xerostomia, fear of recurrence, disfigurement, and problems with feeding and speech (1). Research suggests that low socioeconomic status (SES) individuals are more likely to develop head and neck cancer than those higher up the socioeconomic gradient (2). Additionally, low SES patients experience poorer quality of life and survival rates (3, 4).

Patient-centred styles of doctor-patient communication involve clinicians interacting with patients in ways that encourage patients to take more active roles in raising and discussing issues of importance to them and participating in decision-making (5). Research suggests that patient participation is linked to positive patient outcomes such as improved quality of life, reduced anxiety and depression, greater satisfaction, better sharing of information, and better treatment adherence (6-9).

However studies have found that doctor-patient communication differs depending upon the patient's SES (10). Low SES patients tend to participate less actively in consultations, for example asking fewer questions, as well as being less likely to express emotions and spontaneously volunteer information (11). Furthermore healthcare professionals tend to involve low SES patients less in their consultations by giving them less information, spending less time building rapport with them and listening less attentively (9, 11, 12).

Level of Deprivation (LoD) is an indicator of SES, used in the UK, based on 7 variables which together form a measure of area-level deprivation. These include housing quality, employment rates, crime and living environment present in an individual's area of residence (13). LoD is the primary indicator of area-based socioeconomic disadvantage used in the UK

because it reflects inequalities over a broad range of social indicators. High LoD is associated with greater morbidity and mortality in individuals (14), prevalence of mental disorders (15), obesity and smoking rates (16), and poorer self-reported health (16).

Few studies have explored the effect of socioeconomic differences on patient communication with head and neck cancer patients, and none have used LoD as a measure of SES. The UK National Health Service provides theoretically equal access to health services across the SES spectrum, allowing a systematic comparison between higher and lower SES individuals. Therefore the present study aims to explore how area-level deprivation is associated with doctor-patient communication in head and neck oncology review clinics. This will contribute to our understanding of how deprivation affects doctor-patient communication in this patient group.

Material and methods

We examined associations between LoD and the incidence and timings of patients' expressions of their problems in 110 audiorecorded head and neck oncology review consultations with a single consultant Head and Neck surgeon.

Patient SES was measured using Indices of Multiple Deprivation (17) scores which were split into deciles. IMD scores are composed of aggregated summaries of income, employment, education, health, crime, access to housing and services, and living environment. IMD scores pertain to areas of an average 1,500 people in England. They are publicly available on the Department for Communities and Local Government website, and were accessed using patient postcodes (18).

The audiotapes were analysed using the Verona Coding Definitions of Emotional Sequences (VRCoDES), which quantify patient expressions of worry or concern in a medical consultation along with the healthcare provider's responses (19-21). Patient utterances are coded as either cues or concerns: concerns are clear expressions of emotions which are explicitly verbalised, whereas cues are verbal or nonverbal hints at unpleasant emotions. There are different types of cues and each cue or concern is coded in terms of whether it was elicited by the patient or the healthcare professional (20). Healthcare provider responses are coded in terms of explicitness and providing or reducing space for further emotional disclosure; in other words, whether the healthcare provider encourages or discourages the patient from talking further about their emotional concerns, and whether they do so in a direct or indirect manner (19). VRCoDES have been used to study doctor-patient communication in a variety of healthcare settings and with numerous different patient groups (22, 23).

Pearson's correlation was used to examine associations between IMD decile and number of cues and concerns, elicitation, and type of consultant response. Pearson's partial correlation was used to control for appointment length.

Results

The mean age of the sample was 62.9 years ($SD = 12.69$), with a range of 29 – 93 years, and most of the sample was male (63.6%). The mean appointment length was 9 minutes 17 seconds, with a range of 3 minutes 52 seconds to 21 minutes 55 seconds. Tables 1 and 2 outline the clinical characteristics of the sample and the distribution of IMD deciles.

Mean number of cues and concerns can be found in Table 3. As can be seen in Table 4 there were no significant correlations between IMD decile and number of cues and concerns,

elicitation of cues and concerns, clinician responses to cues and concerns, type of cues and proportion of concerns to cues.

There was however a significant positive correlation between IMD decile and length of appointment, $r = .288$, $p < .01$. In addition, when length of appointment was controlled using a Pearson's partial correlation, a significant and negative association between number of cues and concerns and IMD decile was found, $r = -.221$, $p < .05$. There was a positive correlation between IMD decile and time to first cue or concern which approached significance, $r = .218$, $p = .077$.

Discussion

In the present study no significant correlations were found between IMD decile and number of cues and concerns, elicitation, or consultant responses. This is in contrast to findings from previous studies such as Siminoff et al.(11) which found that higher education and income patients asked more questions, received more information and experienced greater rapport with clinicians. Our study used LoD as an area-based indicator of SES rather than education and income which are individual-level variables. This suggests that perhaps the influence of SES on doctor-patient communication in this particular patient group is limited. Previously reported SES effects of education and income on communication may pertain to the specific influence of verbal or other academic skills rather than SES, *per se*.

When consultation length was controlled however, a significant negative association was found between IMD decile and number of cues and concerns. This does not show that lower SES patients expressed more cues and concerns, but that a greater amount of time was taken in discussions between patients and their consultant during which patients did not express

cues or concerns. There may have been greater general discussion and rapport building. This interpretation is supported by the non-significant effect whereby lower SES patients took a shorter time to broach their first cue of concern. It may be that low SES patients are quick to express any issues to their consultant, with relatively little small-talk around these or other issues.

Such an interpretation fits with previous research finding that healthcare professionals spend more time building rapport with high SES patients (11), and adds the insight that it may be the patient not the doctor who curtails rapport building by moving towards problem solving. Nonetheless, building rapport is an important aspect of clinical communication with a number of benefits for patients (5, 7-9), and low SES patients may be placed at a disadvantage if less time is spent building rapport.

Alternatively, higher SES patients may have received more elaborate clinician responses to their cues and concerns, although we note that the clinician did not favour higher or lower SES groups in eliciting concerns. This is in line with a previous study on patient preferences for communication style (24). The Aelbrecht et al. (24) study found that low educated patients attached most importance to affective elements of a consultation, whereas middle and high educated patients felt that problem-solving was more important. It may be that in the present study high SES patients were more interested in receiving information and engaging in problem-solving during the consultation.

There are a number of limitations to the present study which may have affected the findings. Firstly only 110 audiotapes were analysed as part of this study, therefore the sample size may have been too small to detect any significant differences. Future studies should try to

replicate this with larger samples in order to determine if socioeconomic differences in doctor-patient communication remain absent. Secondly, only one consultant participated in the present study, compromising our ability to generalise these findings. On the other hand the use of only one consultant in this study allowed the authors to control for variation between consultants, therefore isolating the influence of patient's deprivation on doctor-patient communication. There are some limitations to using IMD as a measure of SES. As IMD scores pertain to postcodes, it is possible that although an individual may live in an area with a high level of deprivation they may be high SES in terms of education or occupation.

Conclusion

The absence of significant correlations between IMD decile and number of cues and concerns, as well as consultant responses suggests that perhaps SES, assessed by geographic location, is a weaker indicator associated with clinical communication quality than individual-level variables such as education (11). However, our findings suggest that less communication exists between lower SES patients and their clinician, possibly because patients are quicker to broach their concerns. Whilst we acknowledge that more communication is not necessarily better communication (25), it may be advantageous for clinicians to adopt active strategies that allow them to build rapport with lower SES patients who are keen to voice their concerns.

Conflict of Interest

None

Ethics statement/confirmation of patient permission

All procedures performed in this study were approved by and in accordance with the ethical standards of the Clinical Audit Department, University Hospital [REDACTED], University of [REDACTED] School of Medicine and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards. This article does not contain any studies with animals performed by any of the authors. Informed consent was obtained from all individual participants included in the study.

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IMD decile	Percent of sample
1	30.9
2	9.1
3	4.5
4	1.8
5	10
6	9.1
7	10
8	10
9	8.2
10	6.4

Table 1. Distribution of IMD decile in sample

Treatment		Percent of sample	
Surgery only		40.9	
Surgery with radiotherapy		52.7	
Radio/chemoradiotherapy without surgery		6.4	
Stage at diagnosis		Percent of sample	
Early		50	
Late		31.8	
Missing		18.2	
Primary cancer site		Percent of sample	
Oral		51.8	
Pharyngeal		25.5	
Other		22.7	
	Mean	Standard deviation	Range
Time since diagnosis (months)	56.85	51.74	6 - 240

Table 2. Clinical characteristics of sample

	Mean	Median	Standard deviation	Range	IQR
Total number of cues and concerns	1.81	1.00	2.4	0 - 13	3
Total number of cues	1.08	0	1.69	0 - 8	2
Number of concerns	0.73	0	1.2	0 - 8	1
Number of cue a	0.23	0	0.55	0 - 3	0
Number of cue b	0.65	0	1.08	0 - 5	1
Number of cue c	0.03	0	0.16	0 - 1	0
Number of cue d	0.15	0	0.41	0 - 2	0
Number of cue g	0.02	0	0.19	0 - 2	0

Table 3. Mean number of cues and concerns per consultation

No instance of cue e and f were observed

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	<i>R</i>	<i>P</i>
Number of cues and concerns	-.004	.97
Number of patient elicited cues and concerns	.072	.456
Number of consultant elicited cues and concerns	-.059	.543
Time to first cue or concern	.218	.077
Number of explicit reduce space responses	.03	.756
Number of explicit provide space responses	-.083	.389
Number of non-explicit reduce space responses	.004	.97
Number of non-explicit provide space responses	.055	.566
Appointment length	.288	<.01
Number of cue a	-.091	.346
Number of cue b	.02	.836
Number of cue c	-.05	.603
Number of cue d	-.074	.445
Number of cue g	.072	.456
Proportion of concerns to cues	.009	.943

Table 4. Correlation coefficients and p values for IMD deciles



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CONFIRMATION OF AUTHORSHIP

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We, the undersigned, confirm that we are the joint authors of the above paper.

We confirm that all the authors have had material input into the submission.

We confirm that, to our knowledge, all the claims, statements and conclusions are true and are our jointly held opinions.

We confirm that we all accept the terms of publication of the publisher.

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